



GRAND CENTRAL
by arriva

Complaints Handling Procedure

grandcentralrail.com

What do we mean by ‘complaint’?

We define a complaint as

“An expression of dissatisfaction by a customer or a potential customer about our service delivery or about company or industry policy”.

Our staff are trained to differentiate between general customer feedback and specific complaints. In the event that this is unclear, we will acknowledge your feedback and ask whether you would like it to be formally investigated as a complaint.

Our approach

When you choose to travel with Grand Central, we hope your journey will be comfortable, and memorable for the right reasons. However, for the occasions when things don't go to plan, we have procedures in place. Our procedures make it straightforward for you to get in touch and for us to resolve your complaint efficiently and effectively. Your feedback can help us provide a better service for you and all our customers, and we also have a process for ensuring this happens. Grand Central's procedures take into account guidance from the Office of Rail and Road (ORR), Transport Focus and London Travel Watch, and have been reviewed by them.

If you have any problems during your journey with us, please make our on-board team aware. They are trained to help you and will try to resolve the issue as quickly and effectively as possible. Where possible, they will try to offer a solution there and then or contact a supervisor or manager for advice if this is not possible. If we can't resolve things at the time, we will let you know how to contact our Customer Relations team.

You may want us to provide a formal response to your complaint. We have designed this procedure to ensure that we handle your issues as swiftly as possible. We are committed to making a full and fair investigation and will let you know what we will do to improve our service.

We continuously monitor customer feedback trends and share them with our Executive Board, senior managers, and suppliers in order that a culture of continuous improvement exists within our company.

This procedure is aligned to the provisions of the Equality Act 2010, and we have made provision for people with special needs to access and use it. Our staff are trained to deal with complaints from people with diverse needs. If someone is unable or does not wish to contact us themselves, we welcome their representative (such as a carer or support worker) to get in touch on their behalf. You can read our Disabled People's Protection Policy document on our website at www.grandcentralrail.com

Our procedure

The complaints handling procedure is designed to make sure your complaint is dealt with efficiently, fairly, and effectively. We make every effort to make sure our procedure is:

- | easy to find and well publicised |
- simple to understand and use

| provides a response within published targets | ensuring each complaint is investigated fully and fairly | respectful of confidentiality | addresses all the points you raise | regularly monitored and audited | provides information to management so services can be improved | complementary to the standards set out in our Passenger Charter.

Feedback mechanisms

Making a complaint directly to a member of staff

If you have a complaint during your journey, please talk to a member of our on-train staff to allow them to resolve this on the spot. If they are unable to do so, support staff or a manager may be able to offer advice and help find a solution.

We also offer support to customers via our official social media channels.



@GC_Rail



facebook.com/GrandCentralRail

We do not guarantee a real time response to complaints or queries received in this way, but customer feedback and queries are monitored during the majority of our train operating hours, seven days a week. If we cannot resolve your complaint on Twitter or Facebook or you want a formal acknowledgement from us or want to apply for compensation, the social media team will let you know how to contact Customer Relations or point you towards our online compensation claim form.

How to contact us

Where your complaint cannot be resolved on the spot or via social media, or if you have had an accident or wish to claim for damages you should write to our Customer Relations team as soon as possible and provide as much detail as you can. As a minimum please provide; time and date of the journey and where you travelled from and to, explain what went wrong, if possible, provide details of your ticket and provide any other information you think is relevant or helpful for our investigation of your complaint. We will acknowledge your correspondence immediately and carry out a full and fair investigation.

Making a complaint to the Customer Relations team

Our Customer Relations team will make a record of your complaint, carry out an investigation and offer a resolution.

There are several ways to contact the team:

Phone: 0345 603 4852, select option 4, option 1

Text Relay: 18001 0344 811 0072

Opening times: Monday to Friday, 09:00 – 17:00

(Closed Christmas Day, Boxing Day and New Year's Day)

Email: customer.services@grandcentralrail.com

Post: Customer Relations Manager
Grand Central Railway
Ground Floor
Wakefield Kirkgate Station
Monk Street
Wakefield
WF1 4EL

Outside of these opening hours, a recorded message will let you know that we are closed, and what our opening times are.

You can find our contact details on the Grand Central website in one click by choosing the 'Contact Us' option in the Helpful Links list on the home page.

Who will deal with your complaint?

Whenever possible, a complaint will be handled by one member of the Customer Relations team from when it arrives to when it is resolved. We will make reasonable endeavours to address all issues raised. All complaints will be fully and fairly investigated.

Our Senior Managers are regularly involved in these investigations and also receive four-weekly reports on the number and type of complaints received. As part of the Grand Central Business Plan they will take appropriate action to improve our service as a result of complaints and feedback received.

There are occasions where a customer feels so aggrieved with a particular experience that they feel the need to communicate directly with a Senior Manager or the Managing Director. If this is the case, please contact our Customer Relations team in the first instance in order for your complaint to be formally acknowledged and dealt with in a consistent manner.

How we will respond to your complaint

We aim to resolve your complaint as quickly as we can, taking steps to fully investigate the circumstances. We follow a six-step process, in line with the Office of Rail and Road's guidelines for complaint handling:

1. We establish the key elements of your complaint
2. We collect the relevant information
3. We analyse the evidence
4. We assess how this applies to your complaint
5. We identify the reasons things went wrong
6. We put together a response to you explaining what we found and what we will do.

Complaints about members of our staff will be investigated with the involvement of their line manager or a suitable senior manager. An internal investigation may be required, in addition to the points listed above.

We aim to send you a full reply within ten working days however during busy periods please allow 20 days for a response. If we are unable to give you a full response within this time, we will send you

an acknowledgement and let you know when we should expect to hear more from us. At times when we have a large backlog of correspondence and are unable to reply within 20 days we will inform the ORR, Transport Focus and London Travel Watch of this.

We will offer compensation when appropriate as explained in our Passenger Charter and in line with the National Rail Conditions of Travel. Copies of the Passenger Charter can be found on our website at www.grandcentralrail.com or by contacting the Customer Relations team.

Complaints and claims relating to other operators

Any complaint or claim referring to another operator's services, Network Rail or other agencies, will be acknowledged and forwarded to them. The other operator will respond to your complaint in line with its own complaint handling procedure.

Where the service was provided by a third party on Grand Central's behalf (for example a rail replacement bus service), we will ask the third party to help with the investigation and we will send you a response which takes into account their feedback.

If your complaint is about buying a ticket and you did not buy it from Grand Central, we will pass the complaint on to that company, and they will deal with your complaint.

Complaints and claims involving more than one operator

If you make a complaint involving more than one operator, we will follow complaints handling guidance issued by the ORR. We will either (dependent on the complexity of the case):

- | Deal with our aspects of the complaint and advise you which aspects will be replied to by the other operator, or

- | Co-ordinate a single response on behalf of all the operators involved.

If you are unhappy with our response

We aim to satisfy complaints with our first response and provide frank and honest responses to any concerns raised. If you are unhappy with our first response and you contact us again, your complaint will be reviewed and responded to by a manager.

If you have a complaint about how we have dealt with your issue you should contact us in writing.

You can do this by letter, fax or email. Our contact details are:

Post: Customer Relations Manager
Grand Central Railway
Ground Floor
Wakefield Kirkgate Station
Monk Street
Wakefield
WF1 4EL

Telephone: 0345 603 4852, option 4, option 1
Monday to Friday 09:00 – 17:00
(Closed Christmas Day, Boxing Day, and New Year's Day)

Text Relay: 18001 0344 811 0072

E-mail: customer.services@grandcentralrail.com

To help us to resolve your issue quickly, please include

| Your full name | Your full address

| Your daytime telephone number

| Full details of your complaint, including the name of the person you spoke to |

Copies of any letters or e-mails relating to your complaint.

If you're unhappy with the response you receive, you have the right to appeal to the Rail Ombudsman. However, if you are not satisfied with any aspect of our response, in the first instance please write to our Customer Relations Manager. The Rail Ombudsman is there to help resolve ongoing complaints between us and our customers.

It's free to use their services and they are independent of the rail industry. They don't take sides, but just look at the evidence available. They will help us both to try to reach an agreement, but if this doesn't happen, they will make a decision based on the evidence they've received. If you agree with their decision, then we have to act on what they say.

You can appeal to the Rail Ombudsman if: | you're unhappy with our final response to your complaint which will be contained in a letter or email (sometimes called a 'deadlock letter'); or | we haven't resolved your complaint within 40 working days of receiving it; and | no more than 12 months have passed since we sent you our final response.

There are some complaints that the Rail Ombudsman won't be able to look into, for example if it's about the way one of our services has been designed, industry policy, or if your complaint relates to an event which took place before the Rail Ombudsman service was established. If that's the case, then they'll contact you to let you know. If possible, they will transfer your complaint to another organisation that may be able to help you further, such as Transport Focus or London TravelWatch – the independent consumer watchdog[s] for the rail industry.

They will independently review your complaint and where appropriate, follow things up on your behalf. web: (including online chat): www.railombudsman.org

telephone: 0330 094 0362

textphone: 0330 094 0363

email: info@railombudsman.org

twitter: @RailOmbudsman

post: FREEPOST – RAIL OMBUDSMAN

The Ombudsman Contact Centre team are available:

Monday to Friday 08:00 - 20:00

Saturday and Bank Holidays 08:00 - 13:00 (excluding Christmas Day).

Claiming compensation

We will offer you compensation in line with the National Rail Conditions of Travel. Our Passenger Charter explains our compensation policy, including the levels offered during service disruption. You can get our Passenger Charter from our Customer Relations team and from our website, www.grandcentralrail.com

We investigate all claims for eligibility against actual train running information and we will advise you if you are eligible to receive compensation or not.

When we won't respond to your complaint

Our staff are trained to remain polite at all times and to carry out a full and fair investigation of your complaint. We hope that our customers will treat our staff in a polite and courteous manner. We do not expect our staff to tolerate verbal abuse or threats or any other manner of intimidating behaviour from customers.

We reserve the right to terminate any correspondence or communication that we believe to be unreasonable, aggressive, or abusive, and which specifically diverts resources or is vexatious.

We will fully consult with the ORR, Department for Transport (DfT) and Transport Focus before we terminate any correspondence and inform you in writing of the reasons behind our decision. This decision will be taken by a senior manager, and we will refer you to the passenger watchdogs. This process is in line with complaints handling guidance issued by the Office of Rail and Road.

Confidentiality

We will not provide confidential information to third parties unless we have your written consent.

We may however divulge some or all of your details without consent where it is necessary for us to fulfil our own obligations (this will include bodies such as other train operating companies, Transport Focus and insurers) or to bodies carrying out a statutory duty such as the DfT, ORR, the Rail Delivery Group (RDG) or the Police. The ORR and RDG may use your information for research purposes including contacting people who have complained to learn more about their experiences. You have the option to opt out if you do not want to be contacted.

Complaint records including passenger details are held in compliance with the Data Protection Act. In line with the Act your data will be held as long as is needed to process it for the original purpose it was obtained.

Insurance claims procedures

If you wish to make a claim for losses, property damage or personal injury this should be made in writing or by email to Customer Relations who will ensure it is forwarded to our Claims Handler.

The rail industry has an arrangement called the Claims Allocation and Handling Agreement (CAHA). We, like all train operating companies must comply with CAHA and your claims must be dealt with in accordance with this agreement.

Under the CAHA, compensation should be dealt with by the companies for their own customers. If some or all responsibility is allocated to another party, the insurance companies balance the payments without involving the customer. Claims will be dealt in accordance with this agreement.

Contact information

We make it as easy as possible for you to contact us if you need to comment or make a complaint.

We publicise our contact details in the following ways:

| On our website, www.grandcentralrail.com (one click away from the home page) | On posters at stations and on our trains | On our Customer Service leaflets and complaint forms which are

available from the on train team and at some stations | In our Passenger Charter and timetables | Full contact details for Transport Focus and London TravelWatch are displayed on our website.

Contacting independent consumer watchdogs

Transport Focus and London TravelWatch are independent consumer bodies set up by Parliament to protect and champion rail users' interests.

We will always do our best to resolve satisfactorily all complaints, comments and concerns in a fair and reasonable way in accordance with the National Rail Conditions of Travel, our Complaints Handling Procedures and our Passenger Charter.

However, if you wish to take the matter further you can contact Transport Focus or London TravelWatch. They will consider your case and where they believe it is appropriate, will follow things up on your behalf.

You can contact Transport Focus about any issue, except those that are entirely to do with London Kings Cross station.

Website: transportfocus.org.uk

Email: advice@transportfocus.org.uk

Phone: 0300 123 2350

Twitter: @TransportFocus

Post: Freepost RTEH-XAGE-BYKZ
PO Box 5594
Southend on Sea
SS1 9PZ

For issues that are wholly to do with London King's Cross station please consult London TravelWatch.

Website: londontravelwatch.org.uk

Phone: 020 3176 2999

Email: enquiries@londontravelwatch.org.uk

Post: Europoint,
5-11 Lavington Street,
London
SE1 0NZ

People, processes, and structure

Our Customer Relations team undergo training and regular refresher sessions to ensure they are familiar with company policies and procedures. They spend time on board our trains and understand how our service operates in practice. When a policy changes or the service undergoes development, they are briefed and provided with support to help them explain the changes to customers. A structure of supervisors and Duty Managers ensures that staff are managed and supported at all times and a point of escalation is always available.

We actively monitor our Customer Complaints Handling procedure to ensure it is effective. We do this in the following ways:

| Our Customer Relations team enter details of complaints onto our Complaints Management System, which allows us to record and monitor the number and type of complaints received along with the average response times.

| We monitor telephone calls to our Customer Relations team to ensure your complaint is handled in a friendly, appropriate, and professional manner

| Our Duty Managers sample and review written responses made by our team to ensure complaints are dealt with appropriately and promptly.

Every four weeks the detailed information captured in our Complaints Management System is summarised in a report which is circulated to senior managers and our Executive Team and reviewed at the monthly Senior Management Meeting. This report and an update on key customer feedback trends and plans for improvements is also circulated to and discussed by the Grand Central Board at their meetings. A summary of issues arising on social media and key metrics from Grand Central's Twitter and Facebook pages is circulated four weekly. Where appropriate, this data and insight is shared with suppliers to help them improve the element of the service they provide, and to track the outcome of changes they have made.

Organisational culture

The Grand Central brand is at the heart of all we do. We want people to feel moved to travel with us and for our staff and suppliers to feel moved to do their very best. Our brand drivers underpin every aspect of our business, including our Complaint Handling Procedure. We consider these five drivers to be our company DNA, and the cornerstones of a good customer experience:



Everyone at Grand Central, from front line staff to our Executive Team, understands how these drivers shape every interaction with our business. Each part of the company has a Brand Leader and a Brand Champion, who ensure we make decisions and deliver our service with these drivers in mind. They help maintain a continuous improvement culture, where we learn from feedback and make changes which will benefit our customers and our business. Our annual business plan is built around these aims.

Reviewing our Complaints Procedure

Our complaints handling procedure is reviewed annually and at any time we think that the procedure needs to be improved. The review will involve the ORR, Transport Focus and London TravelWatch.

